



CENTRAL BANK OF TRINIDAD & TOBAGO

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September 13, 2019

Circular Letter To:

Licenses under the Financial Institutions Act, Chap. 79:09

Insurance Companies and Brokers Registered under the Insurance Act, Chap 84:01

Bureaux de Change Licensed under the Exchange Control Act, Chap 79:50

Agricultural Development Bank (ADB)

Trinidad and Tobago Mortgage Finance (TTMF)

Home Mortgage Bank (HMB),

Bankers Association of Trinidad and Tobago (BATT)

Association of Trinidad and Tobago Insurance Companies (ATTIC)

Insurance Brokers Association of Trinidad and Tobago (IBATT)

REF: CB-OIFI-2463/2019

LEGAL NOTICE NO. 157 OF 2019 - THE COMPANIES (AMENDMENT) REGULATIONS, 2019

Further to our Circular Letter dated August 21, 2019 [Ref: CB-OIFI-2283/2019], kindly note the attached Companies (Amendment) Regulations which contain the revised Form 28 – Annual Return as well as the new Forms 36-46 listed below:

1. **Form 28** - Annual Return of a Company for Profit incorporated, continued or amalgamated under the Act (*as revised*)
2. **Form 36** - Return of Share Warrants and Bearer Share Warrants Issued by a Company - Section 33(4);
3. **Form 37** - Notice to Holders of a Share Warrant or Bearer Share Warrant Issued by the Company - Section 33(6);
4. **Form 38** - Notice of Bearer Shares, Bearer Share Certificates, Share Warrants and Bearer Share Warrants Issued by an External Company in Trinidad and Tobago - Section 333A(2);
5. **Form 39** - Notice to Holders of Bearer Shares, Bearer Share Certificates, Share Warrants or Bearer Share Warrants issued by an External Company in Trinidad and Tobago - Section 333A(4);
6. **Form 40** - Notice to Shareholders of the Company to Declare Non-Beneficial Ownership - Section 337B(2);
7. **Form 41** - Declaration of Non-Beneficial Ownership - Section 337C(1);
8. **Form 42** - Declaration of Beneficial Ownership - Section 337C(2) and (3);
9. **Form 43** - Declaration by Shareholder/Former Shareholder of Change in Beneficial Interest in the Shares of a Company - Section 337C(4);
10. **Form 44** - Declaration by Beneficial Owner/Former Beneficial Owner of Change in Beneficial Interest in the Shares of a Company - Section 337C(4);
11. **Form 45** - Return of Beneficial Interest in the Shares of a Company - Section 337C(6) and 337D; and
12. **Form 46** - Return of Issuance or Transfer of Shares - Section 337D(1), (2).

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The Legal Notice will be available on the Central Bank's website and can also be accessed via the Trinidad and Tobago's Government News website (news.gov.tt) under the E-Gazette tab. The printed forms are available at the Government Printery and are also available on the Ministry of Legal Affairs website.

Please ensure that the relevant persons in your respective institutions are made aware of the Companies (Amendment) Regulations and the statutory filing obligations contained therein.

Please be guided accordingly.

Yours sincerely



Michelle Francis-Pantor

Deputy Inspector - Banks, Non-Banks and Payment Systems Oversight

FINANCIAL INSTITUTIONS SUPERVISION DEPARTMENT